

# **ORDINANCE GOVERNING DOCTORAL DEGREE PROGRAMME**

University Notification No. SYN/S1/ORD/2011-12 dated 25-4-2011

(Approved by the Chancellor vide letter No. GS 9 BUM 2011 dated 10-5-2011)



**BANGALORE UNIVERSITY  
BANGALORE**

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## *Statements of Objects and Reasons*

Where as the Bangalore University, Bangalore has been conducting Ph.D. Programme under the Regulations Governing Doctoral Degree Programme adopted in 2005

Whereas the University has noticed certain deficiencies in the said Regulations in conduct of Research Programme in view of UGC (Minimum Standards and Procedure for Award of Ph. D Degree) Regulations, 2009 and to deal with other related matters to ensure effective research activities. Further, to make the Ph. D Programme of the University in tune with the said UGC Regulation, 2009.

The University in exercise of the power conferred under Sec. 42 and all other enabling provisions of the Karnataka State Universities Act 2000, has framed the following Ordinance

### ORDINANCE GOVERNING DOCTORAL DEGREE PROGRAMME

An Ordinance to replace the present Regulation Governing Doctoral Degree Programme of Bangalore University by comprehensive Ordinance

Whereas it is expedient to replace the present Regulation Governing Doctoral Degree Programme by comprehensive Ordinance to consolidate the law relating to Research Programme of Bangalore University

**1. Short title and commencement:** (1) This Ordinance may be called as Ordinance Governing Doctoral Degree Programme, Bangalore University, Bangalore

(2) It shall come into force from the Date of University Notification.

**2. Definitions:** In this Ordinance, unless the context otherwise requires:

- (1) The “Act” means the Karnataka State Universities Act 2000.
- (2) “University” means a University established and incorporated under Sec.3 of the Act.
- (3) “Ordinance” means the Ordinance made under Sec.42 of the Act
- (4) “Degree” means the Degree of the Doctor of Philosophy (abbreviated as Ph. D).
- (5) “Fee” means the fee prescribed by the University for the Ph.D Programme.
- (6) “Entrance Test” means the objective and essay type Test taken by the applicants and administered by the University, who seeks to qualify themselves for Ph.D Registration.
- (7) “Course Work” means the courses prescribed as a part of Ph.D programme and all the candidates shall successfully complete as a pre requisite to start the preparation of Ph.D Thesis.
- (8) “Research Supervisor” means the qualified Teacher who is recognized by the University as Research Supervisor to supervise the candidates in their Ph. D programme.
- (9) “Co-Supervisor” means the recognized research supervisor who supervises an inter-disciplinary doctoral thesis of a Candidate as the second supervisor along with the research supervisor.
- (10) “Doctoral Committee” means the Committee constituted by the University to asses and recommend the applications on the basis of suitability of the Ph.D applicant/Research Proposal for registration and also to review the progress of the candidate and to permit the candidate

to submit the thesis after reviewing the work of the candidate and to discharge related functions.

- (11) “Board of Studies” Board of Studies (Post Graduate) of the University in the discipline /subject concerned.
- (12) “Research Proposal” means a brief write up in the form of research proposal on the proposed research work which shall be submitted by the candidate along with the application for Ph.D Registration
- (13) “Synopsis” means the final synopsis of the completed research work which shall be submitted by the candidate
- (14) “Pre-submission colloquium” means the presentation of the Ph. D synopsis prepared by the candidate in the Department concerned before the faculty and supervisors showing his / her preparedness to submit the thesis.
- (15) “Sponsored Candidate” means those permanent employees of educational /research institutions and organizations of public/private sector deputed to pursue Ph. D, including the permanent teacher on FIP/QIP
- (16) “Foreign Students” means those who are foreign citizens and who have completed their Masters Degree or equalant recognized by UGC as equivalent to P.G Degree from a recognized foreign University/ Institution
- (17) “Reputed Journal” means a professional/literary journal or publication in which article or papers are selected for publication by a Panel of Reader or Referees who are experts in the field.
- (18) Expressions used but not defined in these Ordinances and defined in the Act, Statutes or Regulations shall have the meanings assigned to them in the Act, Statutes or Regulations.

### **3. ORDINANCE GOVERNING DOCTORAL DEGREE PROGRAMME**

A candidate may present himself/ herself for the degree of Doctor of Philosophy in Bangalore University, Bangalore, covered under the Karnataka State Universities Act, 2000, with the following Ordinance:

The Degree of Doctor of Philosophy shall be conferred on the basis of a thesis prepared and submitted for the purpose. The thesis shall embody the result of original research carried out by the candidate in a Post Graduate Department of Studies or in an Institution recognized by the University as research center under the guidance of a Research Supervisor / Supervisors approved by the University.

The provisions to be followed are detailed below in this Ordinance

#### **3.1 Eligibility**

3.1(a) A candidate who has obtained a Master's Degree from Bangalore University or any University/institution in the State or from any other University recognized as equivalent thereto securing not less than 55% marks in the aggregate at the Master's Degree Course as a whole shall be eligible to register for the Ph.D. Degree Programme. However, in the case of SC/ST and the Category-I candidates the minimum marks required shall be 50%.

(b) Such of the teachers employed in affiliated colleges before 31.03.1992 and are continuing in service on a regular basis and desirous of registering for the Ph. D Programme shall be permitted for registration having a minimum of 50% marks at the Master's Degree Course.

(c) A candidate who is qualified as indicated in (a) / (b) above shall be eligible on the basis of an Entrance Test administered by the University i.e., Bangalore University Ph. D Entrance Test (BUPHET), through centralized Examination and Evaluation system. The eligibility list of such candidates shall be prepared by considering of marks scored in Entrance Test only. Minimum passing marks in the Entrance Test for General Merit and SC&ST and Category-I shall be 55% and 50% respectively. However in the case of a candidate who has passed the NET/SLET/GATE/JRF/ M. Phil degree obtained through regular course work and not through distance education mode or belongs to FIP/QIP Programmes or any other tests/examinations conducted by national bodies, such candidates will not be required to appear for Entrance Test. Candidates selected to work under funded projects shall also fulfill the requirement of eligibility vide., 3.1(a) & (b).

(d) i) Foreign students with 55% marks or equalant Grade in the recognized degree, sponsored by their Embassies / ICCR and have passed GRE and TOFEL shall be eligible

ii) Such of those foreign students who have passed GRE and TOFEL or any other recognized tests/examinations conducted by the national bodies and who are not sponsored by their Embassies / ICCR shall fulfill the eligibility requirement as mentioned in 3.1 above.

(e) All the Candidates who have registered for Ph.D Programme under this Ordinance as fulltime candidates and are domiciled in and obtained Masters Degree from any of the Universities in Karnataka shall be entitled to the Scholarship from the University as fixed from time to time i.e., Bangalore University Ph.D Scholarship. However, the candidates who are availing any scholarship/fellowship/financial assistance from State/Central Governments or any Organization/Agency within and outside India or under FIP, QIP etc., are not eligible for such Scholarship. The Scholarship shall be paid from the date of issuance of Provisional Ph.D Registration Certificate and for a maximum period of 3 (three) years, which is stipulated as a normal period for completion of Ph.D. However, the candidate who fails to complete the course work within the stipulated period shall not be entitled to the Scholarship.

#### **4. Doctoral Committee**

4.1 (a) the composition of the Doctoral Committee shall be as follows:

- |  |          |
|--|----------|
| 1) Dean of the Faculty concerned   | Chairman |
| 2) Chairperson, Department of Studies in the University. Head of the recognised Institution or the nominee | Member   |
| 3) Chairperson of Board of Studies concerned   | Member   |
| 4) one expert from outside the University  | Member   |
| 5) Recognised Supervisor / Supervisors   | Member   |
| 6) Special Officer (Eval.) / Deputy Registrar (Eval.)  | Convener |

(b) The Committee shall ordinarily meet twice in a year. However, if necessary, Special meeting of the Doctoral Committee may be convened on the recommendation of Dean / Deans

**Note:** In case the Dean of the Faculty is not available, the Chairperson of the concerned Board of Studies shall be the Chairperson of the meeting.

#### **4.2 Functions of the Doctoral Committee:**

- (a) To review applications received for registration and to recommend their acceptance or otherwise for registration.
- (b) To permit change of Supervisor / Supervisors, and or change of Institution specifying the reasons for the change.
- (c) To consider the half-yearly progress reports of candidates received through the Supervisor.
- (d) To recommend the recognition of Supervisor (s).
- (e) To permit change in the title of the thesis as recommended by the Supervisor.
- (f) To give permission for submission of the thesis.
- (g) To carryout such other duties as the University may entrust the Committee from time to time in connection with the Ph.D. Programme.  
(All communications by the Supervisor should be routed through the Chairperson of the concerned Department or the Head of the Institution).

### **5 Registration**

- 5.1 The University shall notify the Ph.D Programme through a notification published in leading News Papers, once in a year preferably in the month of September/October.
- 5.2 A candidate shall apply for registration for the Ph.D. Programme in the prescribed form to the Registrar (Evaluation) of the University. The application shall be forwarded through the recognised Supervisor.
- 5.3 (a) Candidates for the Ph.D. Programme shall work in the University Post Graduate Departments or in any recognised Laboratory / Institute or the Department of an affiliated college within the University under a recognised Supervisor.  
  
(b) A candidate may be allowed to work under a recognised supervisor in any of the affiliated college/institution, provided the research centre/college/laboratory where the candidate wishes to work, is recognised for the purpose by an Expert Committee constituted by the University.
- 5.4 (a) Every candidate shall submit a Research proposal along with Application, which shall be placed before the Board of Studies concerned for approval. However, before the proposal is approved by the B.O.S, the candidate shall make the presentation on the topic of research before the teaching staff of the Department. The Chairman of the Department shall send a report to this effect to the B.O.S and after its approval to the Registrar (Evaluation).



(b) Research Proposal involving inter/multi disciplinary research shall be examined and decided at a meeting of Joint Board of Studies of the concerned faculties. After such clearance by the joint B.O.S such proposal shall be approved. In all such cases, Ph. D Degree shall be awarded in the present subject/discipline as decided by the Joint B.O.S. The Chairperson of the parent department shall send a report to this effect to the Registrar (Evaluation). The Provisional Registration shall come into effect from the date of approval of Research Proposal / Synopsis by the Doctoral Committee. The Candidate shall be eligible for admission to hostel etc., only from date of coming into effect of the provisional registration.

- 5.5 No candidate shall be permitted to join any course of study other than that connected with Ph.D. Programme concerned or appear for any other examination conducted by the University or by any other University during the period of registration.
- 5.6 (a) Every full time candidate shall work for a minimum period of three years from the date of registration. The part time candidates shall work for a minimum period of 4 years. However, under special circumstances, if the Supervisor, Chairperson and the Doctoral Committee certify that the candidate's work is satisfactory, the minimum period may be reduced to two and a half and three and a half years respectively.
- (b) The full time candidates shall complete the research work and submit the thesis to the University within a maximum period of five years from the date of registration. In the case of part time candidate the period for submission shall be six years.
- (c) In exceptional cases extension beyond the above period may be permitted by the Doctoral Committee on the recommendation of the supervisor for justifiable reasons and with the approval of the University for a maximum period of one more year.
- (d) Under extraordinary circumstances affecting a student and warranting extension of period for submission of thesis, the student may write through the supervisor to the Registrar (Evaluation), who shall refer the same to the Doctoral Committee for its opinion which will be submitted to the Vice-Chancellor for consideration. The Vice-Chancellor's decision in the matter shall be final.
- 5.7 A Candidate, who has registered as full time / part time scholar may be permitted to switch over from Full time to Part time and Vice Versa on any

valid grounds subject to approval of the supervisor and the Doctoral Committee within one year from the date of registration.

## 6. Course Work:

- 6.1 After Provisional Registration, registered candidates shall take up the course work in the respective P.G. Departments / Research Centers for a period of one year (two semesters), and this shall be compulsory for both full timers and part timers.
- 6.2 The course work for Ph.D Programme shall consist of six papers viz., The First Semester includes paper – I: Fundamental Research Methods, paper-II: Advanced Theoretical Perspectives (In the respective discipline), Paper-III: Advanced Computation and Statistical Analysis and the Second Semester includes Paper-IV: Advanced Research Methods, Paper-V: Cognate / Allied Paper (In the respective discipline) and Paper-VI: Specilised Paper (on the main area of thesis), Syllabus for paper-I to V shall be prepared and approved by the B.O.S and paper –VI: Specilised Paper, which shall be prepared by the Supervisor and approved by the B.O.S.
- 6.3 Each paper shall have 48 hours of teaching. Classes for – papers - I to V shall be arranged by the Chairperson of the P.G. Department/Centre of Research and paper – VI by the concerned Research Supervisor. Both the full–timers and part–timers shall attend atleast 75% of the classes in each paper to be eligible to appear for the examination. The course work shall be undertaken within the first year of registration for the Ph.D Programme.
- 6.4 The candidates who do not attend atleast 75% of the classes in each of the paper shall not be eligible to appear for the examination and to obtain the confirmation of registration for Ph.D programme.
- 6.5 The following shall be the Structure of Course Work for Ph.D. Programme,

### FIRST SEMESTER:

Sl.No.	Name of the Paper	Contact Hours per Week	Maximum Marks			Examination Hours
			Continuous Assessment	Course-end Examination	Total	
01	<b>Paper–I:</b> Fundamental Research Methods	03	25	75	100	03

02	<b>Paper–II:</b> Advanced Theoretical Perspectives	03	25	75	100	03
03	<b>Paper–III:</b> Advanced Computation and Statistical Analysis	03	25	75	100	03
Total			75	225	300	

## SECOND SEMESTER:

Sl.No.	Name of the Paper	Contact Hours per Week	Maximum Marks			Examination Hours
			Continuous Assessment	Course-end Examination	Total	
01	<b>Paper–IV:</b> Advanced Research Methods	03	25	75	100	03
02	<b>Paper–V:</b> Cognate/All ied paper (in the respective discipline)	03	25	75	100	03
03	<b>Paper–VI:</b> Specialised Paper(on the main area of thesis)	03	25	75	100	03
Total			75	225	300	

- 6.6 Continuous assessment marks of the course work shall be awarded by the course teacher based on (a) Attendance– 5 marks, (b) Seminar – 10 marks, and (c) Tests – 10 marks.

### Examination and Evaluation of Answer Scripts:

- 6.7 There shall be a Board of Examiners (Ph.D) constituted by the Registrar (Evaluation), with the approval of the Vice-Chancellor, based on the panel of examiners approved by the Board of Studies,

- 6.8 The Chairperson of the Board of Studies, who shall also be the chairperson of the Board of Examiners shall get the requisite number of questions papers set, get them approved by BOE, send them to the Registrar (Evaluation) and arrange for the evaluation of answer scripts. The Chairperson of the P.G. Department of the University shall conduct the examination for all the candidates (i.e. including those who are working for Ph.D. in other institutions) in the Department.
- 6.9 There shall be Course–end Examination of three-hour duration (for 75 marks per paper). Each answer scripts paper wise shall be coded and assessed by two examiners (one internal and another external). The marks awarded to the answer script shall be the average of these two evaluations, and
- 6.10 If the difference in the marks between two evaluations exceed 20% of the maximum marks, such a script shall be assessed by a third examiner. The marks awarded by the third valuer shall be final.

**Minimum Pass Marks and Improvement Examination:**

- 6.11 Minimum passing marks in each course shall be 50% considering both the internal assessment marks and Course-end Examination, out of which a minimum of 38 marks (i.e., 50% of 75 marks) shall be from Course-end Examination, and
- 6.12 Failed candidates are allowed to take one more examination within six months of their first examination. The registration of such of those candidates who fail to complete the examination in the second attempt shall be cancelled.

**Confirmation of Registration:**

- 6.13 Upon declaring the result of the candidate with minimum 50% in each course/paper, he/she shall submit another application form to the Chairperson of the P.G. Department for forwarding to the University for the issue of order of confirmation of registration, and
- 6.14 University shall issue the necessary order within seven days from the date of receipt of the letter from the Department confirming the registration.

**Place of Course Work and Research Work:**

- 6.15 All the provisionally registered candidates shall complete the course work in the P.G. Department of the University/ in the Laboratory/Institute or the

Department of an affiliated/constituent college (wherein the Research Supervisor is working) recognized by the University as Research Centre. This shall apply to all types of candidates—full-timers, part-timers, sponsored candidates, foreign candidates, JRFs, etc.,

- 6.16 The part time candidates shall work for atleast 90 working days per year in the Department of Research Guide. However, during the period of course work he/she shall work for at least 180 days in a year.
- 6.17 **Change of Jurisdiction:** A candidate, whose registration is confirmed and completed atleast one year from the date of confirmed registration, shall be permitted by the University to leave the jurisdiction of the University and continue to carry out his/her research work in the another organization/University/Institute/Laboratory, etc which has facilities in the concerned research area, retaining his/her registration, on the specific recommendation of the Research Supervisor and the Doctoral Committee.

## 7. Progress Reports:

- 7.1 After completion of the course work and confirmation of the registration, every candidate shall present a half yearly progress report before the teaching faculty including the Research Supervisors. Such reports shall be forwarded by the Chairman, Department of Studies / Head of the Research Center to the Registrar (Evaluation).
- 7.2 The half – yearly progress report should cover the following aspects.
- (a) The review of literature
  - (b) New data collected or techniques developed.
  - (c) Progress / Standardisation in research methodology.
  - (d) Discussion of the work done including any new findings.
  - (e) Bibliography of Books/Articles referred.
- 7.3 If a candidate fails to make presentation as specified in 7.1 above and submit two consecutive half–yearly progress reports in time or the progress reports submitted by the Candidate are certified as Not Satisfactory by the supervisor and Doctoral Committee, the Doctoral Committee shall recommend to the University for Cancellation of the registration. However, before cancellation of registration, the candidate shall be served with a show cause notice as to why his/her registration shall not be cancelled. If the candidate fails to submit reply within 30 days from the date of receipt of such notice, the registration shall stand cancelled. In case of submission of reply within time, the matter shall be referred to the Doctoral Committee and the Doctoral Committee after objective assessment, shall make specific recommendation with reasons for suitable action in the matter.

**7.4 Payment of Fees:** The candidates shall pay the prescribed fees every year regularly for continuation of his/her research work. Failing which, his/her registration shall stand cancelled automatically and he/she shall be informed accordingly.

## **8. Research Supervisor:**

### **8.1 Eligibility**

- (a) Professors, Readers / Associate Professors and Lecturers / Assistant Professors in P.G. Departments having Ph. D Degree shall be eligible to supervise students for the Ph. D Programme provided that a Lecturer/ Assistant Professor in addition should also have a total of five years teaching experience as a full time teacher / post doctoral research experience. However, in all such cases, the Committee shall satisfy that the person has a sustained record of Research experience such as publication of research papers/books, organization/presentation of papers in the national/international seminars/conferences, undertaking of projects etc., and five Publications in the professional Journals.
- (B) Teachers/Research Scientists working in the Institutions/organization recognized as research Centre by the University concerned with Ph.D Degree and having five years of experience as full time teachers/research experience are also eligible to be the Supervisors. Provided that they have a sustained record of Post Doctoral Research experience such as publication of research papers/books, organization/presentation of papers in the national/international seminars/conferences, undertaking of projects etc., and 5 Publications in the professional Journals.
- (C) Teachers working in affiliated colleges, which are recognized by the University as research center, with Ph. D degree and having a minimum of 7 years of teaching and research experience as fulltime permanent Teacher are also eligible to become Supervisors provided they have 5 Publications in the professional Journals.
- (D) Professors and Associate Professors of University / Schools/Research Institutes located outside India are also eligible to be Co-Supervisors, provided they have substantial record of teaching/research and publications. Such Co-Supervisors shall be selected out of the panel of Supervisors prepared by the University / by any approved organization / agencies of the Government.

8.2 The maximum number of candidates that a Supervisor can supervise shall not exceed six at any time including the candidates who are under funded projects/FIP/QIP etc., and out of which one shall belong to SC/ST and one shall be from OBC category.

8.3 A change of Supervisor / Co-Supervisor may be allowed within a period of two years from the date of registration with the consent of both the Supervisors and the approval from the Doctoral Committee and the University. However, in extraordinary case the Vice – Chancellor may permit the change of Supervisor even beyond two years if such a need arises to protect the academic interest of the candidate.

8.4 All the Teachers of the University who are eligible to become Supervisors under this Ordinance shall accept Supervisorship and shall supervise the research scholars for Ph.D Programme. Supervisor shall supervise the candidates under him/her properly by maintaining proven periodic record of the candidate. Failing which he/she shall be subjected to disciplinary action as per Service Rules.

8.5 **Allotment of Supervisor:** The Chairperson of the P.G. Department shall convene a meeting of the Departmental Council to allot the candidates to the research supervisors based on the merit list, specialization stream of the candidate and the research supervisor, and the willingness of both the research supervisor and the candidate.

## **9. Submission of the Thesis.**

9.1 (a) A full time candidate who has completed minimum period of three/ two and a half years as the case may be from the date of registration and not less than six months before the expiry of the period prescribed shall submit an application along with five copies of synopsis of the thesis through the Supervisor to the Doctoral Committee for permission to submit the thesis. In case of part time candidate the minimum period shall be four/ three and a half years as the case may be from the date of registration.

(b) After the approval, four copies of the synopsis shall be sent by the Doctoral Committee to the Registrar (Evaluation), who shall proceed with the constitution of Board of Examiners for the adjudication of the Ph.D. thesis.

9.2 (a) Every candidate shall before the submission of the final thesis submit himself / herself to a pre-submission colloquium in the Department concerned before the faculty and supervisors showing his/her preparedness to submit the thesis. He/she shall present the methodology followed and data collected. The proceeding of the colloquium shall be forwarded to the Registrar (Evaluation) by the Supervisor through the Chairman of the Department. Further, each candidate should also have to submit evidence to show that he/ she has a minimum of two publications in the form of articles published on the topic of research in a reputed/referred Journal.

(b) Not later than six months after obtaining permission from the University to submit the thesis both in hard and soft form, every candidate shall submit with the application four copies of the thesis, to the supervisor who shall forward the same to the Registrar (Evaluation) for evaluation.

(c) Ph. D thesis shall consist of a certificate by the Candidate and the supervisor that the thesis submitted is a record of original research work done by the candidate during the period of the research, and that it has not previously formed the basis for the award of any Degree/Diploma/Associateship/ Fellowship or other similar to any candidate. Along with the thesis the candidate shall also produce a 'No Due Certificate' from the Chairman, Department of Studies / Head of the Institution concerned, the University Librarian and the Hostel Warden wherever necessary.

#### **10. Adjudication of the Ph. D Thesis:**

- 10.1 For the Adjudication of the Ph.D thesis, there shall be a Board of Examiners consisting of two external members who shall be experts in the concerned field and not be below the rank of Associate Professor/ Reader, to be chosen by the Vice-Chancellor from a panel of examiners submitted by the concerned Supervisor and approval by the Board of Studies.

The panel submitted by the Supervisor shall have a list consisting of minimum eight Examiners and out of which four shall be from within Karnataka, if available and four shall be preferably from Universities /Institutions outside Karnataka and/or foreign Universities (If the Research Supervisor prefers foreign adjudicator), which shall be approved by the B.O.S. The Supervisor shall be the Chairman of the Board of Examiners.

- 10.2 Each external examiner appointed to adjudicate the thesis shall send the report to the Registrar (Evaluation) within two months from the date of receipt of the thesis. However, if the examiners report is not received within a maximum period of four months, the thesis shall be sent to a third examiner from among the panel approved by the Vice-Chancellor. The examiners report shall include:-

- (a) A critical account of the work of the candidate as embodied in the thesis and an evaluation of the work in terms of its contribution to the advancement of knowledge.
- (b) Details of any question to be asked or points to be clarified at the Viva - Voce Examination.
- (c) A definite recommendation as to whether the Ph.D Degree should be awarded or not.



- 10.3 If both the examiners give definite recommendations against the award of the degree, the thesis shall be rejected. If one of the examiners gives a definite recommendation against the award of the degree, the thesis shall be referred to another external examiner. If the report of examiner is negative the thesis shall be rejected.
- 10.4 If one of the examiners makes a qualified recommendation with valid reasons, for example, to re-submit the thesis after suitable modifications, the Registrar (Evaluation) shall communicate to the candidate for resubmitting the thesis after suitable modifications within six months from the date of recommendation.
- 10.5 No candidate shall be permitted to re-submit the thesis more than two times.
- 10.6 After the award of the Ph.D. Degree the thesis may be published with the written permission from the University and two copies of the published work must be submitted to the University Library for the purpose of record.

#### **11. Viva–Voce Examination**

- 11.1 An open Viva-Voce Examination shall be conducted on receipt of satisfactory report about the thesis from all the examiners. The Viva- Voce shall be conducted by the Board constituted as follows.
  - (a) The Supervisor as Chairman
  - (b) At least one of the external examiners.

However, under extraordinary circumstances where any external examiner cannot be present at the Viva-Voce examination, the Vice-Chancellor may appoint an external substitute examiner from out of the approved panel, and
  - (c) The concerned Chairman of the University Department / Head of the research center.
- 11.2 The Viva–Voce examination shall primarily be designed to test the understanding of the candidate on the subject matter of the thesis, including methodology employed and the competence in the general field of study. Any of the points raised by the examiners shall be made available to the Board for the Viva - Voce examination. At the Viva-Voce examination, the candidate shall produce all the relevant documents, materials, and publications etc., connected with the thesis.

#### **12. Award of Ph. D Degree**

- 12.1 After the candidate successfully completes the Viva - Voce examination, the Chairman BOE shall consolidate the recommendations for the award of Ph.D Degree based on the following:
  - (a) The reports of the examiners who adjudicated the thesis.
  - (b) The evaluation of the candidate’s performance in the Viva-Voce examination.

- 12.2 The Chairman, Board of Examiners shall submit the consolidated report to the Registrar (Evaluation) of the University. Based on this the candidate shall be awarded the Ph.D Degree with the approval of Vice-Chancellor
- 12.3 Along with the Degree, the University shall issue a provisional certificate certifying to the effect that the Degree has been awarded in accordance with the provisions of UGC Regulations 2009

### **13. Depository with UGC:**

Following the successful completion of the evaluation process and announcement of the award of Ph.D, the University shall submit a soft copy of the Ph.D thesis to UGC within a period of 30 days, for hoisting the same in INFLIBNET, accessible to all Institutions/Universities.

### **14. Cases of Malpractice/Plagiarism:**

All Ph.D thesis submitted will be subjected to plagiarism scrutiny/software. Any one found guilty of committing plagiarism or any kind of malpractice in the Ph.D thesis work shall be liable for punishment and penalty imposed by the University in addition to cancellation of registration / withdrawal of the Ph.D Degree as the case may be. Further, the Supervisorship of the Research Supervisor of such candidates may also be withdrawn.

### **\*Clause 15 Repeal and Savings:**

- 15.1 On and after the coming into force of these Ordinances, the Regulations in force governing Doctoral Degree Programme shall stand repealed.
- 15.2 Provided such repeal shall not affect the registrations and continuation of doctoral degree programme under the repealed Regulations except when the Candidates exercise their option to switch over as provided below.
- 15.3 Provided further that the Candidates who have registered for Doctoral Degree Programme prior to the date of notification of these Ordinances shall continue to be governed by the earlier Regulations. However, the Candidates who have registered for Doctoral Degree Programme on or after 11<sup>th</sup> July 2009 i.e., after coming into force of UGC (Minimum Standards and Procedure for Award of Ph.D Degree) Regulations, 2009, may exercise their option to switch over to these Ordinances' within 3 months from the date of this notification provided they undergo the compulsory course work as prescribed in Clause 6 of these Ordinances.
- 15.4 The University shall issue such orders, instructions etc., and prescribe such format, procedure etc., as it may deem fit to implement the provisions of these Ordinances, and
- 15.5 In case of any difficulty in the implementation or giving effect to the provisions of these Ordinances, the Vice-Chancellor may interpret & take such decisions that he/she deems fit and his/her decision shall be final.

*\*As per University Notification No. SYN/S1/ORD/2011-12 dated 29-4-2011*

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5709-BUP-2000-May 2011